

Change of Account Information for Corporate Client Form

公司帳戶信息更改表格

Account Name 帳戶名稱		Account No. 帳戶號碼	
----------------------	--	---------------------	--

Please complete in BLOCK letters where appropriate 請以正楷填寫有關變更部分

Part 1 – Corporate Information 第一部分 – 公司資料	
Company Name ^(Note 1) 公司名稱 ^(註 1)	
Certificate of Incorporation No. ^(Note 1) 公司註冊號碼 ^(註 1)	
Business Registration No. ^(Note 1) 商業登記號碼 ^(註 1)	
Place of Incorporation ^(Note 1) 註冊地 ^(註 1)	
Date of Incorporation ^(Note 1) 註冊日期 ^(註 1)	
Legal Entity Identity No. (if applicable) 法律實體識別碼(如有)	
Nature of Business 業務性質	
Office Phone No. 住宅電話號碼	
Mobile Phone No. 流動電話	
Email Address 電郵地址	
Registered Office Address ^(Note 2) 註冊地址 ^(註 2)	
Principle Address of Business (please specify, if different from Registered Office Address) ^(Note 2) 主要營業地址(如與註冊地址不同, 請註明) ^(註 2)	
Correspondence Address (please specify, if different with Registered Office Address or Principle Address of Business) 通訊地址(如與註冊地址或主要營業地址不同, 請註明)	<input type="checkbox"/> Registered office address 註冊地址 <input type="checkbox"/> Principle Address of Business 主要營業地址 <input type="checkbox"/> the below address 或以下地址
Delivery of Account Statement 帳戶結單發送	<input type="checkbox"/> By Email 電郵收取 <input type="checkbox"/> By post to correspondence address 郵寄結單往通訊地址** <small>**If you would like to receive the statements by post, Harmonia Capital Limited may charge a reasonable monthly fee. For the details, please refer to the fee schedule on the website of Harmonia Capital. 如閣下希望以郵寄方式收取上述結單, 仁和資本有限公司將每月收取合理的收費。收費詳情請參看仁和資本網站上的收費表。</small>

Designated Bank Details (Note 3) 指定銀行資料 ^(註3)	Bank Name 銀行名稱：
	Bank Account Number 銀行帳戶號碼：
	Currency 貨幣：
	Bank Account Holder's Name 銀行帳戶持有人名稱：

Part 2 – Financial Background 第二部分 – 財務背景	
Financial Profile 財務概況	
Annual Revenue (HK\$) 全年營業額(港幣)	<input type="checkbox"/> <=\$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> >\$500,000,000
Annual Net Profit (HK\$) 全年淨利潤(港幣)	<input type="checkbox"/> <=\$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> >\$500,000,000
Total Assets (HK\$) 總資產(港幣)	<input type="checkbox"/> <=\$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> \$500,000,001 - \$1,000,000,000 <input type="checkbox"/> >\$1,000,000,000
Source of fund 資金來源	<input type="checkbox"/> Business Profit 業務利潤 <input type="checkbox"/> Investment Earning 投資收益 <input type="checkbox"/> Sale of Property/Asset 出售物業/資產 <input type="checkbox"/> Others, Please Specify 其他, 請說明 _____
Initial Source of Wealth 最初財富來源	<input type="checkbox"/> Business Profit 業務利潤 <input type="checkbox"/> Investment Earning 投資收益 <input type="checkbox"/> Sale of Property/Asset 出售物業/資產 <input type="checkbox"/> Others, Please Specify 其他, 請說明 _____

Part 3 – Corporate Structure 第三部分 – 公司結構				
Director(s) of the company ^(Note 4,5) 公司董事 ^(註 4,5)				
	Name 姓名	ID Card / Passport No. 身份證或護照號碼	Residential Address 住宅地址	Contact Tel. No. 聯絡電話
(a)				
(b)				
(c)				
Shareholder(s) of the Company ^(Note 4,6) 公司股東 ^(註 4,6) (With 15% shareholdings or more 持股比例 15%以上)				
	Individual/Entity 個人/實體	Name 名稱	HKID Card No. / Passport No. 身份證號碼或護照號碼 / Certificate of Incorporation No. 公司註冊編號	Shareholdings 持股比例 %
(a)	<input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體			
(b)	<input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體			
(c)	<input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體			

Ultimate Beneficial Owner(s) (Note 5,6) 最終實益擁有人 (註 5,6)		
直接或間接地持有或控制該法團 25%或以上投票權或股本的股東:		
1	Name in English	中文姓名
	Nationality 國籍	HKID Card No. / Passport No. 身份證號碼或護照號碼
	Date of Birth 出生日期 (DD/MM/YYYY)	Contact Tel. No. 聯絡電話
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同，請註明))	
2	Name in English	中文姓名
	Nationality 國籍	HKID Card No. / Passport No. 身份證號碼或護照號碼
	Date of Birth 出生日期 (DD/MM/YYYY)	Contact Tel. No. 聯絡電話
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同，請註明))	
3	Name in English	中文姓名
	Nationality 國籍	HKID Card No. / Passport No. 身份證號碼或護照號碼
	Date of Birth 出生日期 (DD/MM/YYYY)	Contact Tel. No. 聯絡電話
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同，請註明))	

If there are any changes of Authorized Traders and/or Signatories, please provide a Board Resolution and attached with the ID/passport copy of each Authorized Person.
 如要更改公司的授權交易及/或簽署人士，請另提供董事會決議及相關授權人的身分證明文件。

Part 4 – Anticipated Level of Transactions Per Month 第四部分 – 預期每月交易概況	
Anticipated Investment of Products 預期投資產品	<input type="checkbox"/> Stocks 證券 <input type="checkbox"/> Warrants 認股權證 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Bonds 債券 <input type="checkbox"/> Fund 基金 <input type="checkbox"/> Bullion 貴金屬 <input type="checkbox"/> Foreign Currency 外匯 <input type="checkbox"/> Others, Please Specify 其他, 請說明: _____
Anticipated Number of Transactions Per Month 預計每月交易次數	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50
Anticipated Amount of Transactions Per Month (HK\$) 預計每月交易金額 (港幣)	<input type="checkbox"/> <500,000 <input type="checkbox"/> 500,000–2,000,000 <input type="checkbox"/> 2,000,001–5,000,000 <input type="checkbox"/> >5,000,001

本人/吾等謹此聲明以上所提供的資料均屬真實及正確。

I/We hereby declare that the information given above is true and correct.

Client Signature with company chop (where applicable)

客戶簽署 及公司印章(如適用)

:

Name of the signer 簽署人姓名

:

Capacity 身份

:

Date 日期

:

IMPORTANT NOTICE 重要通知：

An account holder should immediately report all changes in his/her tax residency status to Harmonia Capital Limited by completing the “Self-Certification form” and “W-8BEN-E/W-8IMY”.

帳戶持有人的稅務身分如有任何變更，應立即填妥[自我證明表格] 及/或 [W-8BEN-E /W-8IMY 表格]通知仁和資本有限公司有關變更。

Notes 註：

- Please provide a Certified true copy of the Certificate of Incorporation, Change of Certificate of Incorporation and/or Memorandum and Articles of Association and/or other related document(s).
請提供已更新的公司註冊證書，公司註冊證書變更和/或組織章程大綱的認證副本和/或其他相關文件。
- Please provide address proof (e.g. utility bill or bank statement issued within the last 3 months).
請提供地址證明 (如最近三個月內發出的水/電/煤氣單或銀行月結單)
- Please provide bank statement.
請提供銀行結單。
- Please provide the latest Company Search Report (i.e. Annual Return) or Certificate of Incumbency issued by the registered agent within the late 6 months or equivalent with Certified True Copy.
請提供最新的周年申報/公司查冊或最近 6 個月內由當地註冊代理人簽發的職權證明書（現任職位證明書）或等同文件的核證本。
- Please provide a certified true copy of the identity document and address proof (e.g. utility bill or bank statement issued within the last 3 months) of new Director(s) and/or Ultimate Beneficial Owner(s).
請提供新增董事及/或最終實益擁有人的身分證明文件及地址證明(如最近三個月內發出的水/電/煤氣單或銀行月結單)之經認證副本。
- Please provide latest organization chart which certified by a director.
請提供由董事核實最新的組織結構圖。

For Internal use only			
Signature verification	Data input	Checked by	Approved by
Date:	Date:	Date:	Date: